

State of Alaska FY2011 Governor's Operating Budget

Department of Health and Social Services Services to the Seriously Mentally Ill Component Budget Summary

Component: Services to the Seriously Mentally Ill

Contribution to Department's Mission

Increase the number of at-risk adults with serious mental illness who are able to live safely in their homes.

Core Services

- To provide psychiatric and rehabilitative services to adults with serious mental illness, through grants to community mental health agencies. Core services are assessment, psychotherapy, case management, and skill building services. Specialized services include residential services and supported employment programs.

Key Component Challenges

Long term challenges:

- 28% of consumers have no or few resources, including no Medicaid. Mental health services are financed through grants and Medicaid, so unresourced consumers are causing financial strain for providers.
- Medicaid does not fund outreach services. Consumers with the highest needs usually also need outreach to be engaged in services.
- There are not enough direct service mental health workers because wages are low and work is hard.
- Consumers exiting correctional facilities, or involved with the Court System, do not have enough support or housing to keep them engaged in productive activities and to prevent re-offending.

Current year challenges:

- Community-based rehabilitative service capacity must be increased to reduce expensive hospitalization and incarceration, especially in the Anchorage and Southcentral regions. Prevalence data indicates these areas are the most underserved.

Budget year challenges:

- Target adults with serious mental illness in forensic population with specialized services to prevent repeated episodes of homelessness and institutionalization.

Significant Changes in Results to be Delivered in FY2011

The needs of mentally ill adults in the forensic population will continue to be a major focus for DBH in FY11. DBH will collaborate with the Mental Health Trust, the Court System, and the Department of Corrections to implement a forensic mental health initiative. Efforts will include improved data analysis, specialized training for forensic providers, added housing options for the forensic mental health population, and closer coordination with the Court System. DBH efforts will be aimed at reducing the number of severely mentally ill adults who are repeatedly incarcerated and/or hospitalized.

Updated Status for Results to be Delivered in FY2010

If funded, the community mental health services pilot for the Department of Corrections will bring mental health services into the jails and result in a lower rate of re-arrest and incarceration for 50 adults leaving jail, by keeping them stable and productive in the community. Anticipated results include:

- Reduced recidivism,
- Reduced homelessness,
- Increased access to services,
- Increased family and community support for discharged offenders,
- Housing, and
- Increased employment.

FY2010 Status Update: Resources were not available to fund a community mental health services pilot for the

Department of Corrections. However, DBH continues to identify the needs of the forensic population and the most efficient ways to serve the adult forensic mental health population.

The major focus for the adult SMI program in FY10 has been to improve the interagency treatment team approach to serve consumers who are the most difficult to serve. Additionally, DBH has increased the amount of technical assistance for grantee providers so that services are run as efficiently as possible, maximizing all sources of Medicaid and local revenue. Finally, DBH funded three consumer-operated services programs in Anchorage to improve outreach to adults with serious mental illness and increase consumer participation in productive activities.

Major Component Accomplishments in 2009

- Provided services to approximately 8,443 seriously mentally ill adults.
- DBH trained 75+ providers to improve their success at applying for consumers' benefits. Success of first time applicants rose from 40% to 75%, resulting in increased Medicaid benefits for adult consumers.
- DBH staff coordinated an interagency case planning team that provided wraparound services to SMI adults whom the Office of Public Advocacy identified as extremely difficult to serve. All consumers reduced their rates of eviction, arrest, and hospitalization.
- DBH expanded housing services for SMI adults exiting correctional facilities and reduced their rate of re-offending and re-hospitalization.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority
7 AAC 78	Grant Programs
7 AAC 72	Civil Commitment
7 AAC 71	Community Mental Health Services

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Services to the Seriously Mentally III Component Financial Summary

All dollars shown in thousands

	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	14.5	135.9	135.9
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	14,326.2	15,772.3	15,822.3
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	14,340.7	15,908.2	15,958.2
Funding Sources:			
1002 Federal Receipts	710.0	989.5	989.5
1004 General Fund Receipts	1,086.5	1,194.5	1,194.5
1037 General Fund / Mental Health	11,374.2	12,424.2	12,674.2
1092 Mental Health Trust Authority Authorized Receipts	1,170.0	1,300.0	1,100.0
Funding Totals	14,340.7	15,908.2	15,958.2

Estimated Revenue Collections

Description	Master Revenue Account	FY2009 Actuals	FY2010 Conference Committee	FY2010 Authorized	FY2010 Authorized	FY2011 Governor
Unrestricted Revenues						
None.		0.0	0.0	0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0	0.0	0.0
Restricted Revenues						
Federal Receipts	51010	0.0	0.0	0.0	0.0	989.5
Restricted Total		0.0	0.0	0.0	0.0	989.5
Total Estimated Revenues		0.0	0.0	0.0	0.0	989.5

**Summary of Component Budget Changes
From FY2010 Management Plan to FY2011 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2010 Management Plan	13,618.7	989.5	1,300.0	15,908.2
Adjustments which will continue current level of service:				
-Reverse FY2010 MH Trust Recommendation	0.0	0.0	-1,300.0	-1,300.0
Proposed budget increases:				
-MH Trust: Housing - Grant 604.05 Department of Corrections discharge incentive grants	0.0	0.0	350.0	350.0
-MH Trust: Housing - Grant 575.05 Bridge Home Pilot Project	0.0	0.0	750.0	750.0
-MH Trust: Dis Justice - Flexible Forensic Treatment Team (Anc MH Court)	250.0	0.0	0.0	250.0
FY2011 Governor	13,868.7	989.5	1,100.0	15,958.2

Component Detail All Funds

Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

	FY2009 Actuals	FY2010 Conference Committee	FY2010 Authorized	FY2010 Management Plan	FY2011 Governor	FY2010 Management Plan vs FY2011 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	14.5	135.9	135.9	135.9	135.9	0.0	0.0%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	14,326.2	14,772.3	14,772.3	15,772.3	15,822.3	50.0	0.3%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	14,340.7	14,908.2	14,908.2	15,908.2	15,958.2	50.0	0.3%
Fund Sources:							
1002 Fed Rcpts	710.0	989.5	989.5	989.5	989.5	0.0	0.0%
1004 Gen Fund	1,086.5	1,194.5	1,194.5	1,194.5	1,194.5	0.0	0.0%
1037 GF/MH	11,374.2	11,424.2	11,424.2	12,424.2	12,674.2	250.0	2.0%
1092 MHTAAR	1,170.0	1,300.0	1,300.0	1,300.0	1,100.0	-200.0	-15.4%
General Funds	12,460.7	12,618.7	12,618.7	13,618.7	13,868.7	250.0	1.8%
Federal Funds	710.0	989.5	989.5	989.5	989.5	0.0	0.0%
Other Funds	1,170.0	1,300.0	1,300.0	1,300.0	1,100.0	-200.0	-15.4%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions PFT	PPT	NP
***** Changes From FY2010 Conference Committee To FY2010 Authorized *****												
FY2010 Conference Committee												
ConfCom		14,908.2	0.0	0.0	135.9	0.0	0.0	14,772.3	0.0	0	0	0
1002 Fed Rcpts		989.5										
1004 Gen Fund		1,194.5										
1037 GF/MH		11,424.2										
1092 MHTAAR		1,300.0										
Subtotal		14,908.2	0.0	0.0	135.9	0.0	0.0	14,772.3	0.0	0	0	0
***** Changes From FY2010 Authorized To FY2010 Management Plan *****												
ADN 06-0-0095 Transfer FY 10 Authorized Budget GF/MH Increment from the Behavioral Hlth Grants Component to Align Intent												
Trin		1,000.0	0.0	0.0	0.0	0.0	0.0	1,000.0	0.0	0	0	0
1037 GF/MH		1,000.0										
Transfer the FY 2010 Authorized Budget increment of \$1,000.0 GF/MH for services to adults with severe and persistent mental illness from the Behavioral Health Grant component to the Seriously Mentally Ill (SMI) component. This aligns funding intent with the core services of the SMI component.												
Subtotal		15,908.2	0.0	0.0	135.9	0.0	0.0	15,772.3	0.0	0	0	0
***** Changes From FY2010 Management Plan To FY2011 Governor *****												
MH Trust: Housing - Grant 604.05 Department of Corrections discharge incentive grants												
IncOTI		350.0	0.0	0.0	0.0	0.0	0.0	350.0	0.0	0	0	0
1092 MHTAAR		350.0										
This project is a strategy in the Trust's Affordable Housing initiative and the Disability Justice workgroups. It is consistent with the Housing workgroup's focus on 'community re-entry' by targeting beneficiaries exiting Department of Corrections settings who are challenging to serve and will require extended supervision and support services to avoid repeat incarceration and becoming public safety concerns. These funds will be administered by the Division of Behavioral Health as Assisted Living Home vouchers or support service resources. Resources will also increase the skill level and capacity for assisted living providers to successfully house this population.												
MH Trust: Housing - Grant 575.05 Bridge Home Pilot Project												
IncOTI		750.0	0.0	0.0	0.0	0.0	0.0	750.0	0.0	0	0	0
1092 MHTAAR		750.0										
This project continues to replicate successful transition programs in other states for individuals 'cycling' through emergency and institutional settings. The focus location for the project for now is Anchorage and institutions targeted for re-entry include: Alaska Psychiatric Institution, Department of Corrections facilities, Providence emergency rooms and other high cost social service and health programs. The project will allow for up to 60 individuals to receive less expensive, continuous services, including a rental subsidy (estimate based on 60% of income) in order to 'bridge' from institutional discharge onto the HUD Housing Choice voucher program (formerly known as the Section 8 housing voucher program) paired with intensive in-home support services. This pairing of												

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
resources for beneficiaries has proven successful in other states in reducing recidivism and impacts on service systems. Alaska's success rates have been demonstrated in reduction of return to Corrections and in use of emergency level services in the initial years of the project.												
MH Trust: Dis Justice - Flexible Forensic Treatment Team (Anc MH Court)												
1037 GF/MH	Inc	250.0	0.0	0.0	0.0	0.0	0.0	250.0	0.0	0	0	0
This request is for \$750.0 GF/MH for a Flexible Forensic Treatment Team to provide an immediate array of mental health and substance abuse treatment services for participants of the Anchorage Mental Health Court. Currently there is a cohort population who cannot access existing community-based treatment services because they are not Medicaid eligible or do not possess the financial resources to access treatment. This population would be the priority for the Flexible Forensic Treatment Team; payment for these services would be through a voucher and insurance, when billable. This project establishes a treatment program specifically for the 200-300 participants in the Anchorage Mental Health Court program and includes:												
- Immediate access to screening and assessment												
- Intensive outreach, engagement, and case management												
- Integrated outpatient treatment that also addresses trauma, criminal behaviors												
- Vouchers for housing, medical, transportation and other basic needs												
- Close coordination with Court staff												
This project fills a gap in the existing treatment system, which cannot provide fast access to services, ongoing outreach and engagement, vouchers for basic services, or close coordination with the Court, all of which are important for treatment success in this population												
Reverse FY2010 MH Trust Recommendation												
1092 MHTAAR	OTI	-1,300.0	0.0	0.0	0.0	0.0	0.0	-1,300.0	0.0	0	0	0
This zero based adjustment reverses all MHTAAR funding for FY2010.												
Totals		15,958.2	0.0	0.0	135.9	0.0	0.0	15,822.3	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
73000	Services		14.5	135.9	135.9
Expenditure Account	Servicing Agency	Explanation	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
73000 Services Detail Totals			0.0	0.0	135.9
73823	Health	Potential contract services.	0.0	0.0	85.0
73823	Health	Excess spending authorization from federal revenue.	0.0	0.0	5.0
73970	Contractual Cost Trf	RSA for planning requirements of the CMHS Block Grant.	0.0	0.0	45.9

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
77000	Grants, Benefits		14,326.2	15,772.3	15,822.3
Expenditure Account	Servicing Agency	Explanation	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
77000 Grants, Benefits Detail Totals			0.0	0.0	15,822.3
77110	Grants	Excess spending authorization for federal revenue.	0.0	0.0	14.0
77110	Grants	Individual Case Management	0.0	0.0	0.3
77110	Grants	Comprehensive Treatment & Recovery grants for seriously mentally ill adults.	0.0	0.0	9,478.9
77110	Grants	Bridge Home Pilot Project MHTAAR.	0.0	0.0	750.0
77110	Grants	DOC discharge housing incentive grants.	0.0	0.0	350.0
77110	Grants	Supported Employment grants funded from the CMHS Block Grant	0.0	0.0	414.4
77110	Grants	Evidence Based Consumer Support Grants including Peer Operated Support Services and Illness, Self Mgmt & Recovery Services	0.0	0.0	335.0
77110	Grants	PATH Homelessness grant	0.0	0.0	300.0
77110	Grants	Behavioral Health Provider Association	0.0	0.0	50.9
77110	Grants	Flexible Forensic Treatment Team grants	0.0	0.0	250.0
77116	Residential Care	Assisted Living Homes.	0.0	0.0	2,719.5
77121	Client Services (Grants)	Individual Service Agreements for Seriously Mentally Ill Adults funded from the CMHS Block Grant	0.0	0.0	159.3
77121	Client Services (Grants)	Individual Service Agreements with community mental health centers for services to adults with severe and persistent mental illness.	0.0	0.0	1,000.0

Restricted Revenue Detail

Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Master Account	Revenue Description	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
51010	Federal Receipts	0.0	0.0	989.5

Detail Information

Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
51010	Federal Receipts		06338607	11100	0.0	0.0	300.0
	SAMHSA Projects for Assistance in Transition from Homelessness (PATH) Federal CFDA 93.150.						
	Public Health Service Act, Title V, Part C, Section 521, as amended, 42 U.S.C. 290cc-21 et. seq.; Stewart B. McKinney Homeless Assistance Amendments Act of 1990, Public Law 101-645.						
	To provide financial assistance to States to support services for individuals who are suffering from serious mental illness or serious mental illness and substance abuse; and are homeless or at imminent risk of becoming homeless.						
51010	Federal Receipts		06338704, 05, 06, 07	11100	0.0	0.0	670.5
	SAMHSA Community Mental Health Service Block Grant Federal CFDA 93.958.						
	Public Health Service Act, Title XIX, Part B, Subpart I						
	To provide financial assistance to States and Territories to enable them to carry out the State's plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to States and the Mental Health Planning Council that will assist the States in planning and implementing a comprehensive community based mental health system.						
51010	Federal Receipts		06338xxx	11100	0.0	0.0	19.0
	Excess federal receipt authority for potential grants or grant increases.						

Inter-Agency Services
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Expenditure Account		Service Description	Service Type	Servicing Agency	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
73970	Contractual Cost Trf	RSA for planning requirements of the CMHS Block Grant.	Intra-dept		0.0	0.0	45.9
73970 Contractual Cost Trf subtotal:					0.0	0.0	45.9
Services to the Seriously Mentally Ill total:					0.0	0.0	45.9
Grand Total:					0.0	0.0	45.9